

List of Patents and Publications  
For Applicant's Information  
Disclosure Statement  
(Use several sheets if necessary)

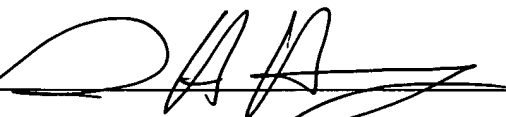
APPLICANT: Buazza et al.

GROUP: 1714-  
1722

FILING DATE: September 14, 1999

## U.S. PATENT DOCUMENTS

| EXAM.<br>INITIALS | REF.<br>DES | DOCUMENT<br>NUMBER | DATE     | NAME                | CLASS | SUB<br>CLASS | FILING DATE IF<br>APPROPRIATE |
|-------------------|-------------|--------------------|----------|---------------------|-------|--------------|-------------------------------|
| D.H.              |             | 4,622,376          | 11/11/86 | Misura et al        |       |              |                               |
|                   |             | 4,623,497          | 11/18/86 | Waters              |       |              |                               |
|                   |             | 4,640,489          | 2/3/87   | Larsen              |       |              |                               |
|                   |             | 4,720,356          | 1/19/88  | Chu                 |       |              |                               |
|                   |             | 4,728,469          | 3/1/88   | Danner et al        |       |              |                               |
|                   |             | 4,746,716          | 5/24/88  | Oates               |       |              |                               |
|                   |             | 4,851,530          | 07-25-89 | Rickwood            |       |              |                               |
|                   |             | 4,879,318          | 11/7/89  | Lipscomb et al      |       |              |                               |
|                   |             | 4,889,664          | 12/1989  | Lindt-Larsen et al. |       |              |                               |
|                   |             | 4,902,725          | 2/20/90  | Moore               |       |              |                               |
|                   |             | 4,913,544          | 04-03-90 | Rickwood et al.     |       |              |                               |
|                   |             | 4,919,850          | 4/24/90  | Blum et al          |       |              |                               |
|                   |             | 4,955,804          | 9/11/90  | Martell et al       |       |              |                               |
|                   |             | 4,959,429          | 9/25/90  | Misura et al        |       |              |                               |
|                   |             | 4,968,454          | 11/6/90  | Crano et al         |       |              |                               |
|                   |             | 4,983,335          | 1/8/91   | Matsuo et al        |       |              |                               |
|                   |             | 4,988,274          | 1/29/91  | Kenmochi            |       |              |                               |
|                   |             | 5,028,358          | 7/2/91   | Blum                |       |              |                               |
|                   |             | 5,135,686          | 8/4/92   | Masuhara et al      |       |              |                               |
|                   |             | 5,238,981          | 08-24-93 | Knowles             |       |              |                               |
|                   |             | 5,274,132          | 12-28-93 | Van Gemert          |       |              |                               |
|                   |             | 5,364,256          | 11/15/94 | Lipscomb et al      |       |              |                               |
|                   |             | 5,369,158          | 11-29-94 | Knowles             |       |              |                               |
|                   |             | 5,384,077          | 01-24-95 | Knowles             |       |              |                               |
|                   |             | 5,391,327          | 02-21-95 | Ligas et al.        |       |              |                               |
|                   |             | 5,405,958          | 04-11-95 | Van Gemert          |       |              |                               |
|                   |             | 5,411,679          | 05-02-95 | Kumar               |       |              |                               |

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DATE CONSIDERED: 2/13/01

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

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(Use several sheets if necessary)

APPLICANT: Buazza et al.

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1722

FILING DATE: September 14, 1999

## U.S. PATENT DOCUMENTS

| EXAM. INITIALS | REF. DES | DOCUMENT NUMBER | DATE     | NAME              | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|----------------|----------|-----------------|----------|-------------------|-------|-----------|----------------------------|
| D.H.           |          | 5,415,816       | 05-16-95 | Buazza et al.     |       |           |                            |
| D.H.           |          | 5,422,046       | 06-06-95 | Tarshiani et al.  |       |           |                            |
| D.H.           |          | 5,429,774       | 07-04-95 | Kumar             |       |           |                            |
| D.H.           |          | 5,451,344       | 09-19-95 | Knowles et al.    |       |           |                            |
| D.H.           |          | 5,458,814       | 10-17-95 | Kumar et al.      |       |           |                            |
| D.H.           |          | 5,458,815       | 10-17-95 | Knowles           |       |           |                            |
| D.H.           |          | 5,464,567       | 11-07-95 | Knowles et al.    |       |           |                            |
| D.H.           |          | 5,466,398       | 11-14-95 | Van Gemert et al. |       |           |                            |
| D.H.           |          | 5,514,214       | 05-07-96 | Joel et al.       |       |           |                            |
| D.H.           |          | 5,516,468       | 5/14/96  | Lipscomb et al    |       |           |                            |
| D.H.           |          | 5,529,728       | 6/25/96  | Buazza et al      |       |           |                            |
| D.H.           |          | 5,681,871       | 10/1997  | Molock et al.     |       |           |                            |
| D.H.           |          | 5,689,324       | 11/18/97 | Lossman et al     |       |           |                            |
| D.H.           |          | 5,851,328       | 12/1998  | Kohan             |       |           |                            |

## FOREIGN PATENT DOCUMENTS

| EXAM. INITIALS | REF. DES | DOCUMENT NUMBER      | DATE                        | COUNTRY       | CLASS | SUB CLASS | TRANSLATION. YES/NO |
|----------------|----------|----------------------|-----------------------------|---------------|-------|-----------|---------------------|
| D.H.           |          | 226 123              | 6/24/87                     | EP            |       |           |                     |
|                |          | <del>0 227 337</del> | <del>DATE OF DATA #13</del> | <del>EP</del> |       |           |                     |
| D.H.           |          | 0 245 020 A2         | 11-11-87                    | EP            |       |           |                     |
|                |          | <del>274 595</del>   | <del>7/20/88</del>          | <del>EP</del> |       |           |                     |
|                |          | <del>0 297 871</del> | <del>1/4/89</del>           | <del>EP</del> |       |           |                     |
|                |          | <del>314 417</del>   | <del>5/3/89</del>           | <del>EP</del> |       |           |                     |
|                |          | <del>322 353</del>   | <del>6/28/89</del>          | <del>EP</del> |       |           |                     |
|                |          | <del>0 341 534</del> | <del>11/15/89</del>         | <del>EP</del> |       |           |                     |
|                |          | <del>40 10 784</del> | <del>10/10/91</del>         | <del>DE</del> |       |           |                     |
|                |          | <del>49 31 768</del> | <del>7/21/72</del>          | <del>JP</del> |       |           |                     |

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**Form PTO-1449** (modified)

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ATTY. DOCKET NO: 5040-03206

APPLICANT: Buazza et al.

FILING DATE: September 14, 1999

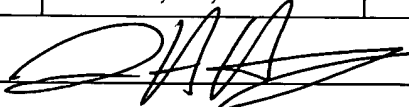
SERIAL NO: 09/395,894

GROUP: ~~1714~~

1722

**U.S. PATENT DOCUMENTS**

| EXAM.<br>INITIALS | REF.<br>DES | DOCUMENT<br>NUMBER | DATE     | NAME               | CLASS | SUB<br>CLASS | FILING DATE IF<br>APPROPRIATE |
|-------------------|-------------|--------------------|----------|--------------------|-------|--------------|-------------------------------|
| D.H.              |             | 2,524,862          | 10/10/50 | White              | —     | —            | —                             |
| D.H.              |             | 2,525,664          | 10/10/50 | Gadsby et al       | —     | —            | —                             |
| D.H.              |             | 3,485,765          | 12/23/69 | Newland et al      | —     | —            | —                             |
| D.H.              |             | 4,113,224          | 09-12-78 | Clark et al.       | —     | —            | —                             |
| D.H.              |             | 4,118,853          | 10/10/78 | Mignen             | —     | —            | —                             |
| D.H.              |             | 4,132,518          | 1/2/79   | Rips               | —     | —            | —                             |
| D.H.              |             | 4,138,538          | 2/6/79   | Kaetsu et al       | —     | —            | —                             |
| D.H.              |             | 4,166,088          | 8/28/79  | Neeffe             | —     | —            | —                             |
| D.H.              |             | 4,246,207          | 1/20/81  | Spycher            | —     | —            | —                             |
| D.H.              |             | 4,252,753          | 2/24/81  | Rips               | —     | —            | —                             |
| D.H.              |             | 4,273,809          | 06-16-81 | La Liberte et al.  | —     | —            | —                             |
| D.H.              |             | 4,284,399          | 8/18/81  | Newcomb et al      | —     | —            | —                             |
| D.H.              |             | 4,287,337          | 9/1/81   | Guglielmetti et al | —     | —            | —                             |
| D.H.              |             | 4,298,005          | 11/3/81  | Mutzhas            | —     | —            | —                             |
| D.H.              |             | 4,308,400          | 12/29/81 | Felder et al       | —     | —            | —                             |
| D.H.              |             | 4,369,298          | 1/18/83  | Kida et al         | —     | —            | —                             |
| D.H.              |             | 4,390,482          | 6/28/83  | Feurer             | —     | —            | —                             |
| D.H.              |             | 4,396,737          | 8/2/83   | Leatherman         | —     | —            | —                             |
| D.H.              |             | 4,440,699          | 4/3/84   | Smid et al         | —     | —            | —                             |
| D.H.              |             | 4,441,795          | 4/10/84  | Lobdell            | —     | —            | —                             |
| D.H.              |             | 4,512,340          | 4/23/85  | Buck               | —     | —            | —                             |
| D.H.              |             | 4,534,915          | 8/13/85  | Neeffe             | —     | —            | —                             |
| D.H.              |             | 4,542,201          | 9/17/85  | Kanemura et al     | —     | —            | —                             |
| D.H.              |             | 4,561,951          | 12/31/85 | Neckers            | —     | —            | —                             |
| D.H.              |             | 4,586,996          | 5/6/86   | Shinohara et al    | —     | —            | —                             |
| D.H.              |             | 4,594,288          | 6/10/86  | Dobigny et al      | —     | —            | —                             |
| D.H.              |             | 4,613,656          | 9/23/86  | Tang               | —     | —            | —                             |

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(Use several sheets if necessary)

ATTY. DOCKET NO: 5040 206

SERIAL NO: 09/395,894

APPLICANT: Buazza et al.

GROUP: 1714  
1722

FILING DATE: September 14, 1999

## FOREIGN PATENT DOCUMENTS

| EXAM.<br>INITIALS | REF.<br>DES | DOCUMENT<br>NUMBER | DATE     | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION.<br>YES/NO |
|-------------------|-------------|--------------------|----------|---------|-------|--------------|------------------------|
|                   |             | 58-45445           | 3/26/75  | JP      |       |              |                        |
|                   |             | 57-85002           | 11/17/80 | JP      |       |              |                        |
|                   |             | 59-86615           | 11/11/82 | JP      |       |              |                        |
|                   |             | 63-207632          | 2/25/87  | JP      |       |              |                        |
|                   |             | 62-288030          | 12/14/87 | JP      |       |              |                        |
|                   |             | 872421             | 07-12-61 | UK      |       |              |                        |
|                   |             | 1029335            | 05-11-66 | UK      |       |              |                        |
|                   |             | 1086114            | 10-04-67 | UK      |       |              |                        |
| D.H.              |             | 2 184 734          | 07-01-87 | UK      |       |              |                        |
|                   |             | 90/05629           | 5/31/90  | WO      |       |              |                        |
|                   |             | 92/12851           | 8/6/92   | WO      |       |              |                        |
|                   |             | 94/04345           | 03-08-94 | WO      |       |              |                        |
|                   |             | 85/18567           | 5/18/95  | WO      |       |              |                        |
|                   |             | 97/29880           | 10/30/97 | WO      |       |              |                        |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

|  |  |   |
|--|--|---|
|  |  | International Search Report for PCT/US97/06641 dated October 30, 1997.                                      |
|  |  | International Search Report for PCT/US 98/15959 dated 11/27/98.   |
|  |  | Communication from Fastcast Corporation entitled "What is PID Tutorial Overview," dated 10/22/97, pp. 1-25. |
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Form PTO-1449 (modified)

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ATTY. DOCKET NO: 5040-03206

SERIAL NO: 09/395,894

APPLICANT: Buazza et al.

GROUP: 1714

1722

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|-------------------|-------------|--------------------|----------|---------------------|-------|--------------|-------------------------------|
| D.H.              | AA          | 4,576,766          | 3/18/86  | Baskerville et al.  |       |              |                               |
| D.H.              | AB          | 4,842,782          | 6/27/89  | Portney et al.      |       |              |                               |
| D.H.              | AC          | 4,851,530          | 7/25/89  | Rickwood (D)        |       |              |                               |
| D.H.              | AD          | 4,913,544          | 4/3/90   | Rickwood et al. (D) |       |              |                               |
| D.H.              | AE          | 5,105,126          | 4/14/92  | Whitesel            |       |              |                               |
| D.H.              | AF          | 5,147,585          | 9/15/92  | Blum                |       |              |                               |
| D.H.              | AG          | 5,154,861          | 10/13/92 | McBrierty et al.    |       |              |                               |
| D.H.              | AH          | 5,238,981          | 8/24/93  | Knowles (D)         |       |              |                               |
| D.H.              | AI          | 5,274,132          | 12/28/93 | VanGemert (D)       |       |              |                               |
| D.H.              | AJ          | 5,369,158          | 11/29/94 | Knowles (D)         |       |              |                               |
| D.H.              | AK          | 5,384,077          | 1/24/95  | Knowles (D)         |       |              |                               |
| D.H.              | AL          | 5,391,327          | 2/21/95  | Ligas et al. (D)    |       |              |                               |
| D.H.              | AM          | 5,405,958          | 4/11/95  | VanGemert (D)       |       |              |                               |
| D.H.              | AN          | 5,411,679          | 5/2/95   | Kumar (D)           |       |              |                               |
| D.H.              | AO          | 5,429,774          | 7/4/95   | Kumar (D)           |       |              |                               |
| D.H.              | AP          | 5,451,344          | 9/19/95  | Knowles et al. (D)  |       |              |                               |
| D.H.              | AQ          | 5,458,814          | 10/17/95 | Kumar et al. (D)    |       |              |                               |
| D.H.              | AR          | 5,458,815          | 10/17/95 | Knowles (D)         |       |              |                               |
| D.H.              | AS          | 5,464,567          | 11/7/95  | Knowles et al. (D)  |       |              |                               |
| D.H.              | AT          | 5,466,398          | 11/14/95 | Van Gemert (D)      |       |              |                               |
| D.H.              | AU          | 5,531,940          | 7/2/96   | Gupta et al.        |       |              |                               |
| D.H.              | AV          | 5,621,017          | 4/15/97  | Kobayakawa et al.   |       |              |                               |
| D.H.              | AW          | 5,789,015          | 8/4/98   | Gupta et al.        |       |              |                               |
| D.H.              | AX          | 5,880,171          | 3/9/99   | Lim et al.          |       |              |                               |
| D.H.              | AY          | 5,910,516          | 6/8/99   | Imura et al.        |       |              |                               |
| D.H.              | AZ          | 5,928,575          | 7/27/99  | Buazza              |       |              |                               |

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**Form PTO-1449** (modified)  
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**Disclosure Statement**  
 (Use several sheets if necessary)

ATTY. DOCKET NO: 5040-03200

SERIAL NO: 09/395,894

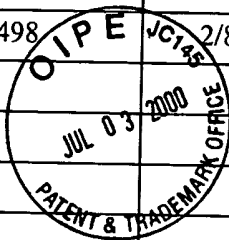
APPLICANT: Buazza et al.

GROUP: 4714  
1722

FILING DATE: September 14, 1999

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|----------------|----------|-----------------|----------|---------------|-------|-----------|----------------------------|
| D.H.           | BA       | 5,976,423       | 11/2/99  | Buazza        |       |           |                            |
| D.H.           | BB       | 5,989,462       | 11/23/99 | Buazza et al. |       |           |                            |
| D.H.           | BC       | 6,022,498       | 2/8/00   | Buazza et al. |       |           |                            |
|                |          |                 |          |               |       |           |                            |
|                |          |                 |          |               |       |           |                            |
|                |          |                 |          |               |       |           |                            |
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**FOREIGN PATENT DOCUMENTS**

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|----------------|----------|-----------------|----------|---------|-------|-----------|---------------------|
| D.H.           | BD       | 2 184 734       | 7/1/87   | GB (D)  |       |           |                     |
| D.H.           | BE       | 0 245 022       | 11/11/87 | EP      |       |           |                     |
| D.H.           | BF       | 0 245 020 A2    | 11/11/87 | EP (D)  |       |           |                     |
|                |          |                 |          |         |       |           |                     |
|                |          |                 |          |         |       |           |                     |
|                |          |                 |          |         |       |           |                     |
|                |          |                 |          |         |       |           |                     |
|                |          |                 |          |         |       |           |                     |
|                |          |                 |          |         |       |           |                     |

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

|      |    |  |
|------|----|--|
| D.H. | BG | Patent Abstracts of Japan, Publication No. 55132221, Publication Date: October 14, 1980. |
| D.H. | BH | Patent Abstracts of Japan, Publication No. 59086603, Publication Date: May 18, 1984.     |
| D.H. | BI | Patent Abstracts of Japan, Publication No. 62025162, Publication Date: February 3, 1987. |
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